

JAN 18 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MARCIA REID MARTIN et al.

Group Art Unit: 2136

Examiner: Pramila Parthasarathy

Serial No.: 10/034,323

Filed: December 28, 2001

For: DATA MANAGEMENT APPLIANCE

Attorney Docket No.: 2001-057-SFT (STK 01057 PUS)

INFORMATION DISCLOSURE STATEMENT
AND FEE UNDER 37 C.F.R. § 1.97(c)Mail Stop Amendment
Commissioner for Patents
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Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Form PTO/SB08A are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(c), i.e., after the mailing date of a first Office Action on the merits but before the mailing of a final action or a notice of allowance, and includes the fee of \$ 180.00 as set forth under 37 C.F.R. § 1.17(p).

While this Statement is being filed in compliance with the duty of disclosure,

CERTIFICATION OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. § 1.8

I hereby certify that this paper and the documents referred to as attached therein are being facsimile transmitted to the United States Patent and Trademark Office as follows:

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citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.17(p), please charge our Deposit Account No. 02-3978 in the amount of \$180.00 to cover the submission of an Information Disclosure Statement (IDS) fee. Please charge any additional fees or credit any overpayments as a result of the filing of this paper to our Deposit Account No. 02-3978- a duplicate of this page is enclosed for that purpose.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

MARCIA REID MARTIN et al.

By: 
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Date: January 18, 2007

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Approved for use through 10/31/99. OMB 0651-0031

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<p>Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a header that reads "Send to Washington."</p> <p>Substitute for Form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>				Complete if Known	
				Application Number	10/034,323
				Filing Date	December 28, 2001
				First Named Inventor	Marcia Reid Martin et al.
				Group Art Unit	2136
				Examiner Name	Framila Parthasarathy
				Attorney Docket Number	2001-057-SFT (STK 01057 PUS)
Sheet	1	of	1		

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴ Applicant is to place a check mark here if English language translation is attached.